

## General Shareholder Information

### Registered Office:

Bhai Mohan Singh Nagar, Railmajra, Tehsil Balachaur,  
District Nawanshahr, Punjab- 144533

### Investor Helpline:

Max Towers, Level 16, Sector 16B, Gautam Buddh Nagar,  
Noida, Uttar Pradesh 201301  
Phone - 0120 - 2200000  
e-mail: investorhelpline@maxindia.com

### Share Transfer Agent:

Mas Services Limited,  
T-34, 2nd Floor, Okhla Industrial Area, Phase - II  
New Delhi - 110 020,  
Telephone nos. : 011-26387281/82/83, Fax-011 26387384  
e-mail: info@masserv.com

### Annual General Meeting:

**Date and Time:** Tuesday, September 24, 2019 at 11.00 A.M.

**Venue:** Registered Office of the Company at 419, Bhai Mohan  
Singh Nagar, Railmajra, Tehsil Balachaur, District  
Nawanshahr, Punjab - 144 533.

### Book Closure:

Wednesday, September 18, 2019 to Tuesday September 24, 2019  
(both days inclusive)

### Financial Year:

The financial year of the Company starts from April 1st of a year  
and ends on March 31st of the following year.

### Financial Calendar - 2019-20:

1.	First quarter results	- By second week of August 2019
2.	Second quarter & half yearly results	- By second week of November 2019
3.	Third quarter results	- By second week of February 2020
4.	Annual results	- By May 30, 2020

### Listing on Stock Exchanges:

The Equity Shares of the Company are listed on the BSE Limited ('BSE') and the National Stock Exchange of India Limited ('NSE'). The Company confirms that it has paid annual listing fees due to BSE and NSE for the year 2019-20.

### Connectivity with Depositories:

The Company's shares are in dematerialized mode through National Securities Depository Limited (NSDL) and Central Depository Services (India) Limited (CDSL).

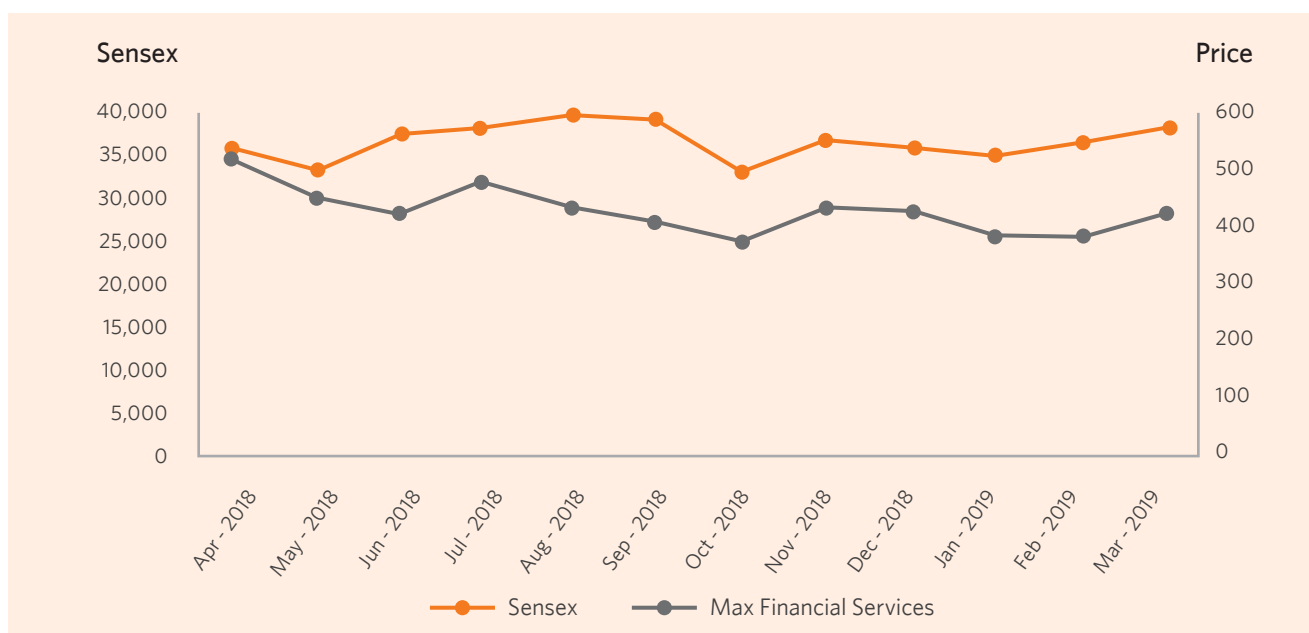
### Stock Code:

BSE	- 500271	
NSE	- MFSL	
Demat ISIN No. for NSDL and CDSL	- INE180A01020	
	Reuters	Bloomberg
BSE	MAXI.BO	MAXF:IN
NSE	MAXI.NS	NMAX:IN

### Share Price Data - Monthly high and low quotation on NSE and BSE

Month	NSE		BSE	
	High (₹)	Low (₹)	High (₹)	Low (₹)
April, 2018	526.40	424.00	524.30	424.05
May, 2018	531.50	456.35	530.80	455.00
June, 2018	505.00	416.25	505.00	416.45
July, 2018	499.50	403.25	498.95	403.70
August, 2018	534.00	447.00	533.60	448.20
September, 2018	519.35	400.00	519.00	401.55
October, 2018	416.80	350.00	416.00	343.95
November, 2018	452.00	377.05	451.00	377.00
December, 2018	453.95	399.05	454.30	399.15
January, 2019	464.70	389.40	464.80	391.80
February, 2019	416.15	360.90	416.00	361.10
March, 2019	462.50	397.05	462.40	398.25

### Share Price vs Sensex



### Shareholding Pattern as on March 31, 2019:

Category	No. of shares held	% of shareholding
Promoters	7,63,03,608	28.33
Mutual Funds and UTI	8,31,72,300	30.87
Banks, Financial Institutions	1,88,968	0.07
Insurance Companies	0	0.00
Foreign Institutional Investors	1,03,88,572	3.86
Foreign Portfolio Investors	7,00,25,154	25.99
Alternate Investment Funds	25,33,034	0.94
Bodies Corporate	30,21,469	1.12
Non-resident Indians/ Overseas Corporate Bodies	12,61,901	0.47
Clearing Members	5,72,553	0.21
Resident Individuals	2,14,46,528	7.97
Trusts	4,797	0.00
Unclaimed Suspense Account	4,66,895	0.17
<b>Total</b>	<b>26,93,85,779</b>	<b>100.00</b>

## General Shareholder Information

### Distribution of shareholding as on March 31, 2019:

No. of Shareholders	Percentage to total	Shareholdings	No. of shares	Percentage to total
57,889	97.97	1 to 5000	1,47,31,210	5.47
602	1.02	5001 to 10000	21,66,961	0.80
248	0.42	10001 to 20000	18,10,238	0.67
90	0.15	20001 to 30000	11,30,125	0.42
44	0.07	30001 to 40000	7,57,467	0.28
16	0.03	40001 to 50000	3,78,533	0.14
54	0.09	50001 to 100000	20,14,072	0.75
145	0.25	100001 and above	24,63,97,173	91.47
<b>59,088</b>	<b>100.00</b>	<b>Total</b>	<b>26,93,85,779</b>	<b>100.00</b>

### Dematerialisation status as on March 31, 2019:

- (i) Shareholding in dematerialised mode 99.37%
- (ii) Shareholding in physical mode 0.63%

### Reconciliation of Share Capital Audit

As stipulated by the Regulation 55A of SEBI (Depositories and Participants) Regulations, 1996, a practicing Company Secretary carries out the Reconciliation of Share Capital Audit, on a quarterly basis, to reconcile the total admitted capital with National Securities Depository Limited (NSDL) and Central Depository Services (India) Limited (CDSL) with the total listed and paid-up capital. The audit report, inter alia, confirms that the total listed and paid up capital of the Company is in agreement with the aggregate of the total number of shares in dematerialized form and total number of shares in physical form.

### For shareholders holding shares in dematerialised mode

Shareholders holding shares in dematerialised mode are requested to intimate all changes with respect to bank details, mandate, nomination, power of attorney, change of address, change of name etc. to their depository participant (DP). These changes will be reflected in the Company's records on the downloading of information from Depositories, which will help the Company provide better service to its shareholders.

### For Shareholders holding their equity shares in physical form

Your kind attention is drawn towards SEBI regulations which prescribe that with effect from April 1, 2019, the transfer of securities, in physical form, shall not be processed unless securities are held in dematerialized form with any of the depository and therefore, all members holding shares in physical form are further advised to convert their shareholding from Physical form to Demat form with a Depository Participant of their choice. Holding share(s) in Demat form has following advantages:

1. Freedom from physical storage
2. Elimination of chances of theft, mutilation, defacement etc.

3. Easy to sell and realize sale proceeds and/or dividend in the bank account linked with the Depository.
4. Contribution to the 'Green Initiative'
5. To make any change in your particulars, you can make single request with your DP, which will be applicable to all companies in your demat account.
6. Demat account can be operated from anywhere in the world

### Share Transfer System

In respect of shares upto 1000 per folio, transfers (including transmission) are effected on a weekly basis. For others, the transfers are effected within limits prescribed by law. The average turnaround time for processing registration of transfers is 15 days from the date of receipt of requests. The processing activities with respect to requests received for dematerialisation are generally completed within 7 - 10 days.

### Dividend

The Company has not declared any dividend for the current financial year.

The Board of Directors approved a Dividend Distribution Policy in line with Regulation 43A of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation 2015, as amended, from time to time ("Listing Regulations"). The said policy is enclosed as an annexure to the Directors' Report and is also available on the website of the Company [www.maxfinancialservices.com](http://www.maxfinancialservices.com)

### Unclaimed Dividends

In respect of any unpaid / unclaimed dividends, the shareholders are requested to write to the Registrar and Share Transfer Agent of the Company. Further, the Companies Act, 2013, mandates companies to transfer Dividend that remains unclaimed for a period of seven years to the Investor Education and Protection Fund (IEPF). Therefore, balance if any remaining unclaimed/unpaid against the past dividend(s), will be transferred to IEPF within the statutory period prescribed under the Act.

### **Transfer of Unclaimed Dividend and Shares to the Investor Education and Protection Fund**

The Company had paid interim dividend in FY 2012-13 and the unpaid dividend was transferred to a separate account in same year within prescribed time. After completion of 7 years, the unpaid amounts still lying in the said account shall be transferred to the Investor Education and Protection Fund, along with respective shares on which such dividend remain unpaid.

Further as per Section 124(6) of the Companies Act 2013, all shares in respect of which [dividend has not been paid or claimed for seven consecutive years or more shall be] transferred by the company in the name of Investor Education and Protection Fund.

### **Outstanding GDRs/ADRs/Warrants or any Convertible instruments, conversion date and likely impact on equity:**

Not Applicable

### **Plant Locations**

Not Applicable

### **Communication of Financial Results**

The unaudited quarterly financial results and the audited annual accounts are normally published in the Financial Express / Desh Sewak. The financial results, press releases and presentations etc. are regularly displayed on the Company's website- [www.maxfinancialservices.com](http://www.maxfinancialservices.com)

### **Address for Correspondence with the Company**

Investors and shareholders can correspond with the office of the share transfer agent of the Company or the Corporate Office of the Company at the following addresses:

### **Mas Services Limited (Registrar & Transfer Agent)**

T-34, 2<sup>nd</sup> Floor  
Okhla Industrial Area, Phase - II  
New Delhi - 110 020

### **Contact Person**

Mr. Sharwan Mangla  
Telephone nos.:-011-26387281/82/83  
Fax No.:- 011 - 26387384  
e-mail : [info@masserv.com](mailto:info@masserv.com)

### **Max Financial Services Limited**

Secretarial Department  
Max Towers, Level 16,  
Sector 16B, Gautam Buddh Nagar,  
Noida, Uttar Pradesh 201301  
Tel. No.:- 0120 - 2200000  
e-mail: [investorhelpline@maxindia.com](mailto:investorhelpline@maxindia.com)

### **Company Secretary and Compliance Officer**

Mr. V. Krishnan  
Tel. No.: 0120 - 2200000  
E-mail: [vkrishnan@maxindia.com](mailto:vkrishnan@maxindia.com)

Please visit us at [www.maxfinancialservices.com](http://www.maxfinancialservices.com) for financial and other information about your Company

For **Max Financial Services Limited**

Date: August 6, 2019

Place: New Delhi

**Mohit Tawar**

Managing Director  
DIN: 02394694